



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Case No. 12672US01

PATENT

In the Application of:

ROBERT CAREY

Serial No.: 09/639,478

Filed: August 15, 2000

For: TECHNIQUES OF SELECTING
SECURITIES FOR A PORTFOLIO
USING BUYBACK RATIO AND
DIVIDEND YIELD

Examiner: Nicholas D. Rosen

Group Art Unit: 3625
Confirmation No.: 9992

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on April 1, 2004.

By: Ronald E. Larson
Ronald E. Larson
Reg. No. 24,478

AMENDMENT AFTER ADVISORY ACTION

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action dated February 2, 2004, kindly amend the above-identified application as follows:

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APR 08 2004
GROUP 3600

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